

Table A4 The Transparency Barometer scoring guidelines

Parameter (max. points)	Criteria (points)*	National report (NR)/ regional report (RR)			ATT/PoA			UN Register	UN Comtrade
		NR	RR		ATT annual report	ATT initial report	PoA		
Timeliness (1.50)	1.1 Did the exporter submit data in 2017 or 2018? (0.5)	✓	✓		✓			✓	✓
	1.2 Did the exporter submit data in 2018? (0.5)	✓	✓		✓			✓	✓
	1.3 Did the exporter submit data in 2018 that concerned activities in 2017 or 2018? (0.5)	✓	✓		✓			✓	✓
Access and consistency (2.00)	2.1 Did the exporter make its national report available online free of charge? (0.5)	✓			✓			✓	✓
	2.2 Did the exporter provide interim information —such as biannual or quarterly reports—in addi- tion to a consolidated annual report? (0.5)	✓							
	2.3 Did the exporter use the same tool to report on activities in 2015, 2016, and 2017? (0.5)	✓			✓			✓	✓
	2.4 Did the exporter use a single additional tool to report on activities in 2015, 2016, and 2017? (0.5)	✓			✓			✓	✓
Clarity (5.00)	3.1 Did the exporter distinguish between government- and private industry-supplied transactions? (1/0.5)	✓			✓			✓	

3-2 Did the exporter distinguish small arms and light weapons from other types of conventional weapons? (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
3-3 Did the exporter distinguish small arms and light weapons ammunition from ammunition for other types of conventional weapons? (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
3-4 Did the exporter provide information on temporary exports? Examples would include transfers to trade shows that must be returned, transfers to troops participating in peace operations, and materiel sent to be repaired and returned. (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
3-5 Did the exporter provide information on its small arms-related laws, regulations, and administrative procedures, as well as its multilateral commitments?															
3-5.1 Did the country provide information on its transfer control system, including brokering control, to any reporting mechanism? (0.5/0.25)	✓						✓	✓	✓	✓	✓	✓	✓	✓	✓
3-5.2 Did the exporter provide information on the measures it uses to prevent and detect the diversion of international small arms and light weapons transfers? (0.25)	✓														
3-5.3 Did the exporter provide information on its subregional, regional, and international commitments relating to the control of international small arms and light weapons transfers, including brokering? (0.25)	✓														

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Comprehen- siveness (6.50)	3.6 Did the exporter provide information on deliveries? (0.5/0.25)	✓	✓	✓			✓	✓
	3.7 Did the exporter provide information on licences granted? (0.5/0.25)	✓	✓	✓			✓	
	3.8 Did the exporter provide information on small arms brokering authorizations? (0.5)	✓		✓			✓	
	4.1 Did the exporter provide information on its exports of guided light weapons, such as MANPADS and anti-tank guided weapons? (0.5/0.25)	✓		✓			✓	✓
	4.2 Did the exporter provide information on its exports of unguided light weapons apart from heavy machine guns and anti-materiel rifles—that is, rocket launchers such as RPGs and anti-tank weapons, grenade launchers, mortars, and recoilless rifles and guns? (0.5/0.25)	✓		✓			✓	✓
	4.3 Did the exporter provide information on exports of sporting and hunting guns or rifles? (0.5/0.25)	✓		✓			✓	✓
	4.4 Did the exporter provide information on exports of pistols and revolvers? (0.5/0.25)	✓		✓			✓	✓

4.5 Did the exporter provide information on exports of military firearms—automatic rifles; light, medium, and heavy machine guns; sub-machine guns; anti-materiel rifles; and military shotguns—apart from pistols and revolvers? (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓
4.6 Did the exporter provide information on exports of ammunition of 12.7 mm calibre and below, as well as shotgun shells? (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓
4.7 Did the exporter provide information on exports of ammunition larger than 12.7 mm calibre that is used in light weapons? Single-use light weapons systems that contain both the launcher and the projectile are treated as light weapons in either 4.1 or 4.2, above, and are not considered as ‘ammunition’ here. (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓
4.8 Did the exporter provide information on exports of parts and accessories for small arms and light weapons? (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓
4.9 Did the exporter provide information on ‘intangible transfers’ concerning small arms and light weapons, their ammunition, or their parts and accessories? Intangible transfers include the provision of technical plans, blueprints, know-how, schematics, and software for the production of small arms, light weapons, their ammunition, or their parts and accessories. (0.5/0.25)	✓	✓	✓	✓	✓	✓	✓	✓	✓



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	4.10 Did the exporter provide information on permanent re-exports of small arms and light weapons, and/or their ammunition, not including temporary exports covered in 3.4? (0.5/0.25)	✓			✓			✓	
	4.11 Did the exporter identify the origin and destination of permanent re-exports of small arms and light weapons and/or their ammunition? (0.5/0.25)	✓			✓			✓	
	4.12 Did the exporter provide information on transit or transhipment of small arms and light weapons, and/or their ammunition? (0.5/0.25)	✓			✓			✓	
	4.13 Did the exporter identify the origin and destination of the transit or transhipment of small arms and light weapons and/or their ammunition? (0.5/0.25)	✓			✓			✓	
Deliveries (4.00)	5.1 Did the exporter provide information on delivery recipients? (1/0.5)	✓			✓			✓	✓
	5.2 Did the exporter provide information on the state of import AND specific end user—such as riot control police, air force, museum, or private dealer? (1/0.5)	✓			✓			✓	
	5.3 Did the exporter provide information on the state of import AND the types AND quantities of weapons and/or ammunition delivered? (1/0.5)	✓			✓			✓	✓

	5.4 Did the exporter provide information on the state of import AND the types AND values of weapons and/or ammunition delivered? (1/0.5)	✓	✓	✓	✓	✓	✓	✓
Licences granted (4.00)	6.1 Did the exporter provide information on licence recipients? (1/0.5)	✓	✓	✓	✓	✓	✓	
	6.2 Did the exporter provide information on the state of import AND specific end-user? (1/0.5)	✓	✓	✓	✓	✓	✓	
	6.3 Did the exporter provide information on the state of import AND the types AND quantities of weapons and/or ammunition delivered? (1/0.5)	✓	✓	✓	✓	✓	✓	
	6.4 Did the exporter provide information on the state of import AND the types AND values of weapons and/or ammunition delivered? (1/0.5)	✓	✓	✓	✓	✓	✓	
Licences refused (2.00)	7.1 Did the exporter identify the countries of prospective import that were refused licences? (0.5/0.25)	✓	✓					
	7.2 Did the exporter provide a reason or explanation for its refusal to grant a licence to the applicant or state of prospective import? (0.5/0.25)	✓	✓					
	7.3 Did the exporter provide information on the types AND quantities of weapons and/or ammunition that were the subject of a licence refusal? (0.5/0.25)	✓						
	7.4 Did the exporter provide information on the types AND values of weapons and/or ammunition that were the subject of a licence refusal? (0.5/0.25)	✓						

Notes:

- * For some criteria only full points are awarded, while for others both partial and full points can be awarded, depending on the comprehensiveness of the information provided by the exporters. In the latter case, two possible scores are given, for example (1/0.5).
- Grey background: not applicable.
- ✓ Voluntary information.