

Table 8 Small Arms Trade Transparency Barometer 2017, covering major exporters*

	Total (25.00 max)	National report**/ Regional report***	UN Comtrade**	UN Register**	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Germany	20.25	X/EU	X	X	1.50	2.00	4.25	4.00	3.50	3.50	1.50
Switzerland	20.00	X	X	X	1.50	1.50	4.00	5.25	3.00	4.00	0.75
Netherlands	19.50	X/EU	X	X	1.50	2.00	4.25	5.75	2.50	2.50	1.00
Serbia	19.50	X/SEE	X	X	1.50	1.50	3.75	5.50	3.00	3.00	1.25
United Kingdom	19.25	X/EU	X	X	1.50	2.00	4.50	4.75	3.50	1.50	1.50
Romania	18.75	X/EU		X	1.50	2.00	2.25	5.00	2.50	3.50	2.00
Sweden	16.75	X/EU	X	X	1.50	1.50	4.00	5.00	2.50	1.50	0.75
France	16.25	X/EU	X	X	1.50	1.50	3.75	4.50	3.00	1.50	0.50
Slovakia	16.25	X/EU	X	X	1.50	1.50	3.00	4.25	2.50	1.50	2.00
Belgium ¹	16.00	X/EU	X	X	1.50	2.00	3.50	3.00	2.50	2.00	1.50
Portugal	16.00	X/EU	X	X	1.50	1.50	3.25	5.00	3.00	1.50	0.25
Italy	15.75	X/EU	X	X	1.50	1.50	3.50	5.25	2.50	1.50	0.00
Spain	15.50	X/EU	X	X	1.50	1.50	2.75	3.75	3.00	1.50	1.50
Hungary	15.25	X/EU	X	X	1.50	1.50	2.00	4.75	3.50	1.50	0.50
Poland	15.25	X/EU	X	X	1.50	1.50	3.50	4.25	3.00	1.50	0.00
Croatia	15.00	X/EU/SEE	X	X	1.50	1.50	2.50	3.50	2.50	1.50	2.00
Norway	15.00	X	X	X	1.50	1.50	4.00	4.25	3.00	0.00	0.75
Czech Republic	14.75	X/EU	X	X	1.50	1.50	2.50	4.00	3.00	1.50	0.75
Finland	14.50	X/EU	X	X	1.50	1.50	3.25	3.50	2.50	2.00	0.25
United States ²	14.25	X	X	X	1.50	1.50	2.75	4.00	2.50	2.00	0.00
Denmark	14.00	X/EU	X	X	1.50	1.50	2.00	4.25	3.00	1.50	0.25
Bulgaria	13.50	X/EU		X	1.50	1.50	2.25	3.00	3.00	1.50	0.75
Austria	12.25	EU	X	X	1.50	1.00	2.00	3.00	3.00	1.50	0.25
Canada	12.25	X	X	X	1.50	1.50	2.25	3.50	3.50	0.00	0.00
Australia	12.00		X	X	1.50	0.50	1.50	4.50	3.00	1.00	0.00
Russian Federation	10.50		X	X	1.50	1.00	1.50	3.50	3.00	0.00	0.00
Thailand	10.25		X		1.50	0.50	1.50	3.75	3.00	0.00	0.00
Turkey	10.00		X	X	1.50	1.00	1.50	3.00	3.00	0.00	0.00
Mexico	9.75		X	X	1.50	1.00	1.50	2.75	3.00	0.00	0.00
Japan	9.25		X	X	1.50	1.00	1.50	2.75	2.50	0.00	0.00
South Korea	9.25		X	X(13)	1.50	0.50	1.50	3.25	2.50	0.00	0.00
Cyprus	8.75		X	X	1.50	1.00	1.25	2.50	2.50	0.00	0.00
India	8.75		X	X	1.50	0.00	1.50	3.25	2.50	0.00	0.00

	Total (25.00 max)	National report**/ Regional report***	UN Comtrade**	UN Register**	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Philippines	8.75		X		1.50	0.50	1.50	2.25	3.00	0.00	0.00
China	8.50		X	X	1.50	1.00	1.00	2.50	2.50	0.00	0.00
Pakistan	8.50			X	1.50	0.50	1.50	2.50	2.50	0.00	0.00
South Africa ³	8.25	X			1.50	1.00	0.75	2.00	0.00	3.00	0.00
Argentina	7.50		X	X	1.50	0.50	1.50	1.50	2.50	0.00	0.00
Brazil	7.50		X	X	1.50	1.00	1.00	1.50	2.50	0.00	0.00
Ukraine	7.50	X		X	1.50	1.50	0.75	1.75	2.00	0.00	0.00
Taiwan ⁴	7.25				1.50	1.50	1.50	0.75	2.00	0.00	0.00
Singapore	7.00		X	X	1.50	1.00	1.00	1.50	2.00	0.00	0.00
Peru	5.50		X		1.50	0.50	1.00	1.00	1.50	0.00	0.00
Bosnia and Herzegovina	4.50	SEE		X(13)	1.00	0.00	1.00	0.00	1.00	1.50	0.00
Iran	0.00				0.00	0.00	0.00	0.00	0.00	0.00	0.00
Israel	0.00				0.00	0.00	0.00	0.00	0.00	0.00	0.00
North Korea	0.00				0.00	0.00	0.00	0.00	0.00	0.00	0.00
Saudi Arabia	0.00				0.00	0.00	0.00	0.00	0.00	0.00	0.00
United Arab Emirates	0.00				0.00	0.00	0.00	0.00	0.00	0.00	0.00

Notes: The online version of the Transparency Barometer incorporates corrections that may affect states' scores and rankings. For this reason, the online version—rather than the printed one—should be considered definitive. See Small Arms Survey (n.d.).

* Major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in a given year. The 2017 Barometer includes any state that qualified as a major exporter at least once during the 2001–14 calendar years.

** X indicates that a report was issued or submitted by the cut-off date; X(year) indicates that, as a report was not issued or submitted by the cut off-date, the state was evaluated on the basis of its most recent submission, covering activities for the year reported in brackets.

*** The Barometer assesses information provided in the following regional reporting instruments: 1) the EU's Seventeenth Annual Report (CoEU, 2016), which reflects military equipment exports carried out by EU member states in 2014 and appears as 'EU' in the Barometer; and 2) the regional report compiled by the South Eastern and Eastern Europe Clearinghouse for the Control of Small Arms and Light Weapons, or SEESAC Regional Report (SEESAC, 2015), which covers data on the 2013 trade of South-eastern and Eastern European exporters and appears as 'SEE' in the Barometer. The SEESAC Regional Report for 2014 trade was not available at the time the 2017 Barometer was finalized.

Scoring system

The scoring system for the 2017 Barometer allows exporters to earn up to 25 points via 43 criteria in seven parameters: timeliness; access and consistency; clarity; comprehensiveness; and the level of detail provided on actual deliveries, licences granted, and licences refused. For detailed scoring guidelines, see Table A4 in the Annexe to this Update.

Explanatory notes

- The 2017 Barometer is based on national arms export reports that were made publicly available between 1 January 2014 and 31 January 2016. It also reflects information submitted by states to regional reporting mechanisms that were published after 31 January 2016 and before the Barometer was finalized (July 2017)—although the submission of this data does not receive points for timeliness.
- The 2017 Barometer takes account of national submissions to the UN Register from 1 January 2014 to 31 January 2016, as well as information submitted to UN Comtrade on 2014 exports up to and including 28 November 2015.
- The fact that the Barometer is based on multiple reporting mechanisms—international, regional, and national—works to the advantage of exporters that publish data at all of these levels. While Barometer scores acknowledge the provision of information to any of the reporting mechanisms, the same information is not credited twice.

State-specific notes

- 1 In addition to the national report issued by the Belgian federal government, each Belgian region (Brussels, Flanders, and Wallonia) reports separately on its arms exports.
- 2 For the purposes of the Barometer, the US national report refers to the State Department report, issued pursuant to Section 655 of the Foreign Assistance Act on direct commercial sales, as well as the report on foreign military sales, which is prepared by the US Department of Defense.
- 3 In the evaluation of South Africa's national report, the term 'conveyance' is interpreted to mean transit.
- 4 Data on Taiwan was retrieved from the Directorate General of the Customs Administration of Taiwan's Ministry of Finance (Taiwan MoF, n.d.).⁹

Source: Small Arms Survey (2017a)